

# PBA DfX in the RoHS era: Specify the requirements!

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**RoHS  
Service**

**0498/919464**



**imec**



Met steun van:



1. The RoHS era: what has changed?
2. PBA: What needs to be specified?
3. A typical way of working...
4. ... and what may happen.

# 1. The RoHS Era: What has changed?

## **1 July 2006:** RoHS bans lead in majority of electronics

- Lead-free soldering forms the basis of a massive, mandatory change in the electronics industry.
- A change that is NOT limited to the electronic assembly plant!
- A change that affects the complete electronic supply chain.

### *Why?*

- SnPb solder has been used for well over 50 years as the general purpose soldering material.
- There is NO drop-in lead-free solder replacement.
- Major adaptation required of: (temperature/metallurgy)
  - Soldering materials, processes and equipment
  - Components
  - Printed Circuit Boards

# 1. The RoHS Era: What has changed?

## The soldering operation

### SnPb Era

Solder: Tm 179-183°C



### Reflow soldering:

205°C - 235°C  
typical: 215°C  
process window: 30°C

### Wave soldering

245°C-255°C

### RoHS Era

Solder: Tm \*199-\*\*210-217-227°C

-SAC:  $\text{SnAg}_3\text{Cu}_{.5}$ ,  $\text{SnAg}_4\text{Cu}_{.7}$ ,  $\text{SnAg}_{3.8}\text{Cu}_{.7}$

-Low Ag SAC: SACX,  $\text{SnAg}_1\text{Cu}_{.5}$

-SnCu alloys

-\*SnZn, SnBiZn

-\*\*SnAgBi



### Reflow soldering:

SAC: 232°C - 245°C (260°C)  
typical: 240-245°C (+25-30°C)  
process window: 13°C (28°C)

### Wave soldering

260°C-270°C



# 1. The RoHS Era: What has changed?

## Components

### SnPb Era

#### Plastic packages

J-STD-20 qualification

Tmax: 220°C-235°C



#### Lead finish periferal

**SnPb3-10%, NiPdAu,**  
Passives: NiAu, NiSn Ag,  
AgPd,...

#### BGA balls

$\text{Sn}_{63}\text{Pb}_{37}$ ,  $\text{Sn}_{10}\text{Pb}_{90}$  (CBGA)

### RoHS Era

#### Plastic packages: new materials

J-STD-20C qualification

Tmax: 245°C-250°C-260°C

Special repair requirement

Moisture sensitivity: MSL increase 0 to 2 levels

#### Lead finish periferal: lead-free

**Pure Sn** (whiskers), SnBi (whisker, SnPb compatibility), **NiPdAu** (cost, availability), SnAg, NiSn, SnAgCu, Ag, AgPd,...

Anti-whiskering treatment and testing

Exemption for fine-pitch components: SnPb

#### BGA balls

$\text{SnAg}_3\text{Cu}_{.5}$ ,  $\text{SnAg}_4\text{Cu}_{.7}$ ,  $\text{SnAg}_{3.8}\text{Cu}_{.7}$ ,  $\text{SnAg}_1\text{Cu}_{.5}$ ,...  
(Major reliability issue: low Ag content balls)

CBGA:  $\text{Sn}_{10}\text{Pb}_{90}$ , no lead-free solution available

# 1. The RoHS Era: What has changed?

## Printed Circuit Board

### SnPb Era

#### Laminate (standard)

FR4 Tg=130°C-140°C

High Tg FR4 Tg up to 180°C



#### Finish

##### **SnPb HASL**

ENIG NiAu

OSP



### RoHS Era

#### Laminate (Lead-free solder compatible)

Issues: delamination, via cracking, CAF, degradation

High Tg FR4 160°C-180°C (poor solution)

New FR4-like non-dicy cured filled laminates  
Reduced CTEz, increased Td and T260/T288

Large variety of materials. FR4 is insufficient as material identifier.

#### Finish

Lead-free HASL: thermal load

**ENIG NiAu:** Weak interface, black pad, skip plating, NiP issues

Immersion Sn: solderability if too thin

Immersion Ag: SO<sub>2</sub> sensitive

OSP: solderability, multiple process steps

# 1. The RoHS Era: What has changed?

## Assembly operation and supply chain

### SnPb Era

#### Component ID

Functional

Package



#### Traceability

Only for specific applications

#### Assembly operation

One solder alloy for all soldering operations

One group of products

### RoHS Era

#### Component ID

Functional & package

RoHS, RoHS5 (telecom), non-RoHS, non-EU RoHS

SnPb solderable, lead-free SAC solderable  
Application specific compatibility depending on lead metallurgy, thermal load resistance, RoHS exemptions, reliability requirements,...

“Green” components, lead-free components,...

#### Traceability

General requirement for RoHS compliancy demonstration

#### Assembly operation

SnPb solder plus one or more lead-free alloys

SnPb and lead-free soldering processes/equipment

RoHS/leadfree – RoHS/SnPb – non-RoHS/leadfree – non-RoHS/SnPb product groups

# 1. The RoHS Era: What has changed?

## Summary

PBA design and manufacturing has become:

- Significantly more complex with many more parameters to control.
- More critical due to higher temperatures and smaller process window.
- With a significant higher chance of failure due to:
  - Enhanced failure mechanism (fatigue, via-cracking, delamination,...)
  - New failure mechanisms (whisker, kirkendall voiding,...)
  - Identification and tracking errors, human error and lack of robust supply chain control systems
  - Counterfeiting of components
  - The steep learning curve
- ... and many unknowns





## 2. What needs to be specified?

A lot and much more than before because:

- The number of variables has increased significantly.
- Lead-free soldering processes are significantly less forgiving than SnPb soldering.
- The “damage” may not always be easy to detect but will finally turn-up in the field.
- There is less margin with regards to reliability. Increased number of potential failure mechanisms to take care of.

To be specified

- Printed Circuit Board
- Components
- Assembly materials
- Assembly operation



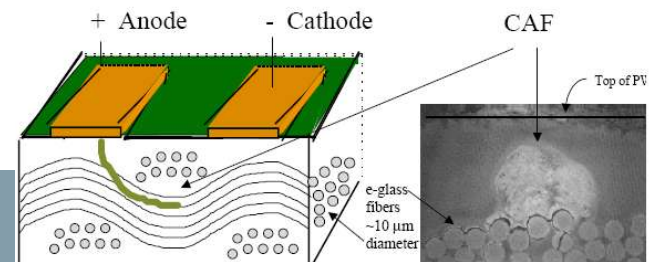
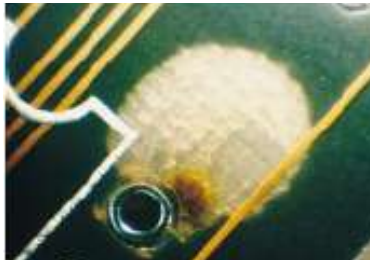
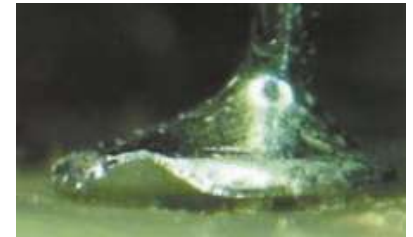
## 2. What needs to be specified?

### Printed Circuit Board

- Lead-free compatible laminate type

- During soldering: delamination, via cracking, heat damage
- Product operation: CAF, surface insulation and corrosion, pad lifting
- Relevant laminate properties:

- Non-dicy curing
- Decomposition temperature  $T_d$
- Time to delamination at  $260^\circ\text{C}$  or  $288^\circ\text{C}$ :  $T_{260}$  or  $T_{288}$
- CTE in z-direction
- You are not safe with a just a high  $T_g$  material!  $T_g$  is of secondary importance!
- Warning!  
Real values may deviate significantly from specifications.
- Conductive Anodic Filament growth resistance



## 2. What needs to be specified?

### Printed Circuit Board

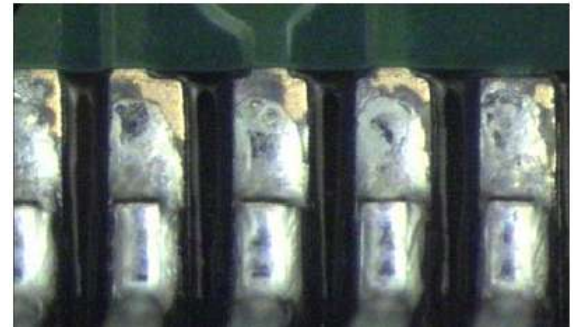
- Solderable finish

- Soldering process: solderability, multiple soldering, shelf life, process window
- Product operation: solder joint (interface) reliability, surface insulation and corrosion
- Options: Leadfree HASL, ENIG NiAu, Im Ag, Im Sn, OSP  
All have pro's and con's.

Most popular lead-free finish: ENIG NiAu

But it is NOT the obvious solution!

- ENIG is complex process with several pitfalls: skip plating, black pad, Ni oxidation
- Soldering to Ni instead of Cu: reduced soldering process window
- Weaker/more brittle solder/Ni interface
  - Intrinsic more brittle SnNi intermetallics
  - Negative contribution of P in Ni –  $\text{Ni}_3\text{P}$  formation at interface
  - (non ENIG NiAu: Gold embrittlement)
- Critical operational conditions: shock, vibration, high tensile load



## 2. What needs to be specified?

### **Printed Circuit Board**

The PCB manufacturer:

- Not all PCB manufacturing operations are created equal!  
Many more things can go wrong in trying to reduce cost!
- Qualify your PCB supplier for good manufacturing practice and consistent product quality.

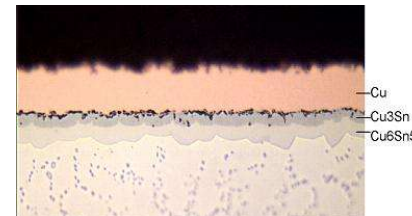
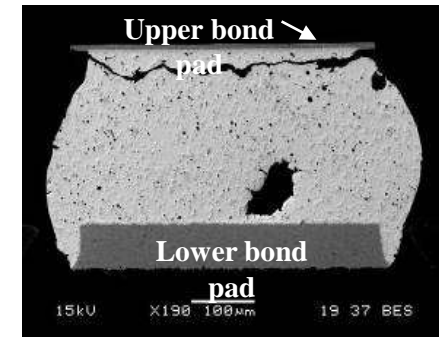
What may go wrong when cost pressure is high:

- Multiple lead-free HASL-ing to repair HASL bridges
- Shortened press-cycle leading to undercured PCB laminates
- Lengthening of the time drills are used leading to damaged drill holes.  
Note: fillers in new FR4 materials reduce drill life by a factor of 2!
- Insufficient through hole plating
- Shortened cure time of solder mask
- Shortened deposition time of ImSn bath
- Insufficient control of NiAu chemistry
- ...

## 2. What needs to be specified?

### Components

- RoHS requirements (legal) <> lead-free!
- <> Lead-free soldering requirements!
- Reliability requirements
  - Solder joint fatigue
    - Thermal mismatch component/board
    - Test requirements
  - Whiskering on Sn-finished leads
    - Acceptable finishes including mitigation techniques
    - Test requirements
  - Kirkendall voiding in BGA
    - Test requirements
- If needed: SnPb soldering requirements.



## 2. What needs to be specified?

### Components: Lead-free soldering requirements

- Metallurgical requirements:
  - No lead
  - BGA: SAC balls for SAC soldering
- Thermal load requirements
  - Active components:  
J-STD-20C lead-free solder requirements
  - Check moisture sensitivity level: MSL>4 take special measures
  - Passive components: standardisation?
    - Should also be capable to withstand J-STD-20C requirements
    - May also be moisture sensitive: no standardisation
  - Large plastic BGA require special attention related to warpage during reflow and especially in repair.

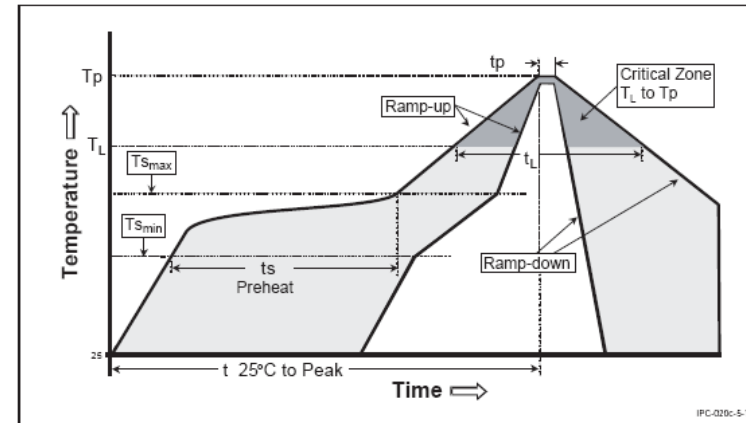


Figure 5-1 Classification Reflow Profile

## 2. What needs to be specified?

### **Components: SnPb soldering**

Not all lead-free/RoHS components are backward compatible!

- Use SnPb balled BGA for SnPb soldering.
- Do not use SnBi finished components for high reliability SnPb soldered products
- Also when soldering with SnPb you may have a whiskering issue on the Sn-finished components

***Even when you are exempted  
RoHS will affect you!***



## 2. What needs to be specified?

### Solder materials

Alloy:

- Sn63Pb37, Sn62Pb36Ag2 (Ag finished components)
- SAC305, SAC405, SAC387

Wave soldering different alloy allowed?

- Sn100C (SnCuNi), SACX (0.3% Ag SAC),...

Wire soldering (repair)

- Mixing of SAC and SnCu alloys allowed?





## 2. What needs to be specified?

### Solder materials

Flux: corrosion and Surface Insulation Resistance

- Lead-free soldered PBA are more susceptible to SIR and corrosion issues.
- No-clean flux classification: what is allowed?
  - J-STD-004
  - Low (L), Mild (M), High (H) activated flux
  - (almost) no halogenes (0), halogenes present (1)
  - Flux type: organic acid (OR), rosin (RO), resin (RE)
  - Additional test requirements e.g. Telcordia (Bellcore)
  - Green assembly: VOC-free?
  - Do not forget the specification of the solder wire!
- Cleaning requirements



## 2. What needs to be specified?

### Assembly operation

- Documented RoHS compliant operation (legal)
- PBA acceptability criteria:  
IPC-A-610D, J-STD-001, specify product class: 1,2,3?
- Process window
  - Minimal soldering conditions (time/temperature)
  - PBA Bill-Of-Materials compatible with standard maximum soldering conditions (J-STD-20C)?
  - If not: specify maximum soldering conditions (time/temperature)
- Assembly instructions w.r.t. manual assembly
- Process and material qualification practice of plant
- Change notification procedures

Audit the assembly plant



### 3. A typical way of working...

- OEM *BestProduct* defines functionality of new electronic product.
- *BestProduct* makes the electronic design and specifies Processor, memory and ASIC components.
- Layout based on the electronic schematics is subcontracted to ODM *CreateIt*.
- ODM *CreateIt* orders the PCB's at PCB-plant *Print*.
- The PCB assembly is subcontracted by *CreateIt* to PBA plant *StuffIt*.
- The *StuffIt* organisation orders components from different sources including component broker *GetItAll*.
- Critical components (cost, lead-time,...) are directly ordered by OEM *BestProduct* and shipped to *CreateIt* from which the different *StuffIt* PBA plants get their supply.
- Functional testing is done by OEM *BestProduct* for IP reasons.
- Repair from testing and field returns are shipped to one of the *StuffIt* PBA plants.
- ODM *CreateIt* is responsible for Engineering Change Orders.

### 3. A typical way of working...

Questions raised by a complex supply chain:  
Who makes the rules of the game?

- Who specifies what? (complete or partially)
  - PCB (*BestProduct*, *CreateIt*, *Print*, *StuffIt*)?
  - Components (*BestProduct*, *CreateIt*, *StuffIt*, *GetItAll*)?
  - Assembly materials (*BestProduct*, *CreateIt*, *StuffIt*)?
  - Assembly operations (*BestProduct*, *CreateIt*, *StuffIt*)?
  - Reliability requirements related to operational conditions (*BestProduct*, *CreateIt*, *Print*, *StuffIt*)?
- Is there sufficient know-how present?
- How to control that specifications are met?



# 4. ...and what may happen!

## In assembly (1)

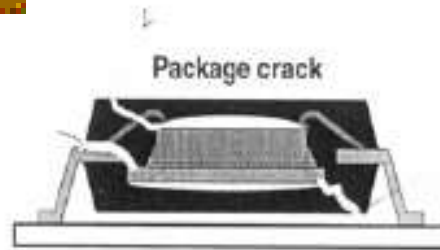
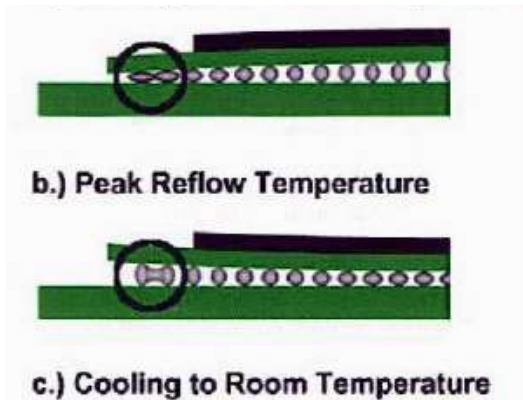


Poor quality

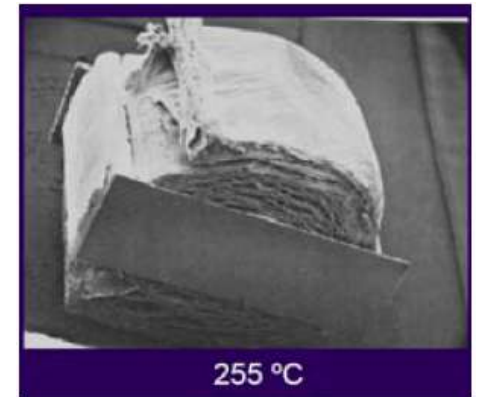
- Components
- PCB
- Assembly process
- Design



- Overheating
- Incompatibility of component with lead-free soldering

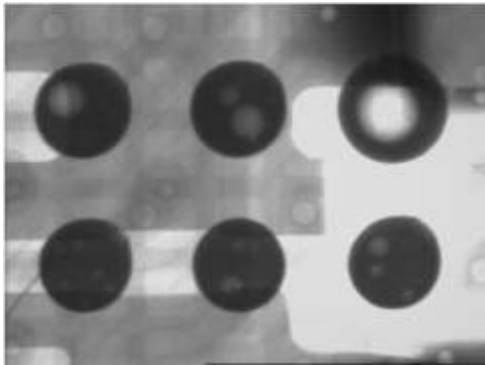


- Moisture level rating
- Component quality
- Logistics of moisture sensitive components



# 4. ...and what may happen!

## In assembly (2)



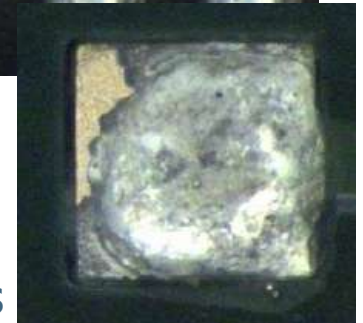
### BGA voiding

- Reflow process
- Solder paste
- PCB design



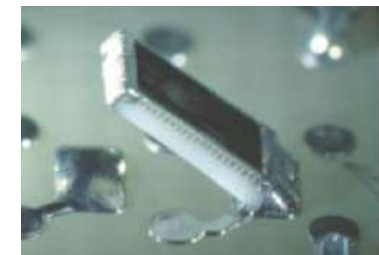
### Poor solderability

- PCB finish quality
- Solder paste
- Storage conditions



### Through-hole filling

- Solder process
- Solderability of component or PCB



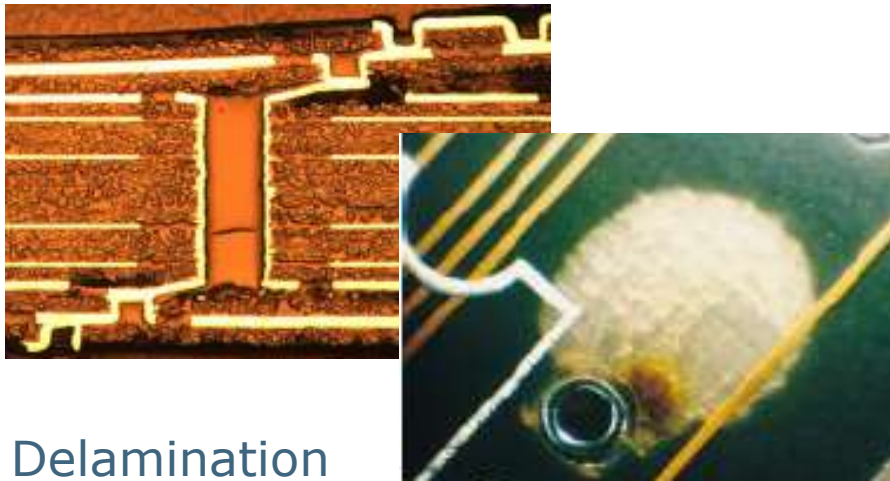
### Tombstoning

- Design
- Process



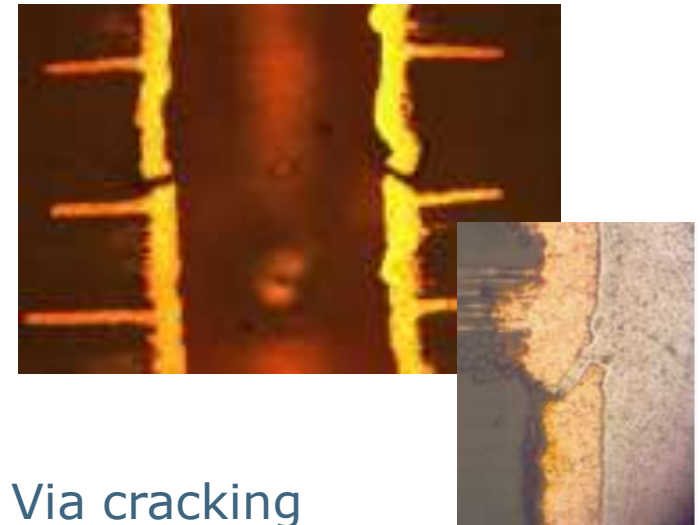
# 4. ...and what may happen!

## In assembly (3)



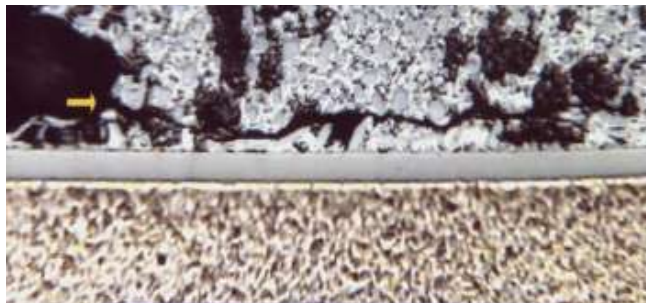
### Delamination

- PCB lead-free compatibility
- Process: overheating



### Via cracking

- PCB lead-free compatibility
- Process: overheating
- Excessive # repair cycles

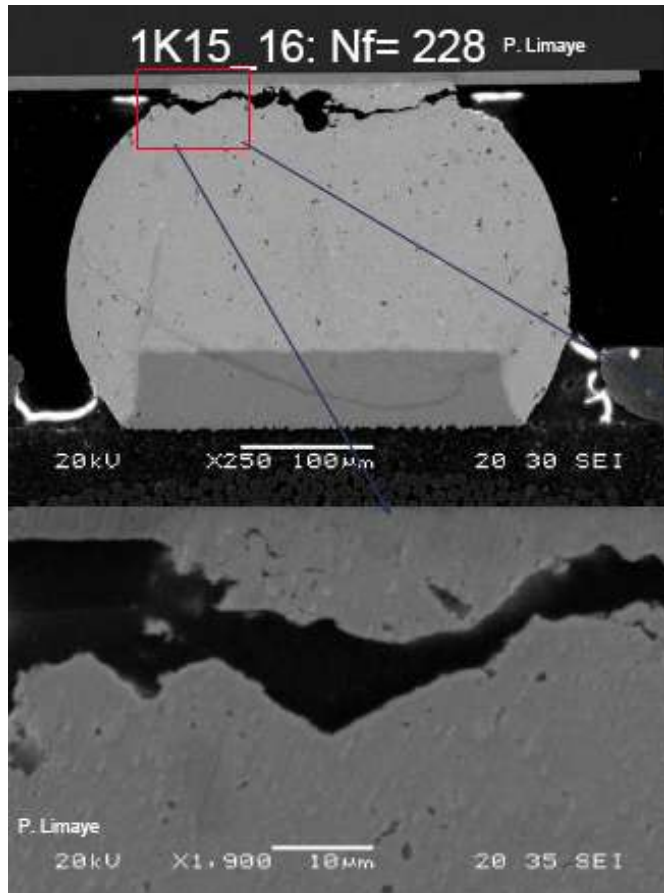


### Contamination

- Pb in SAC
  - BOM control
  - Process contamination
- Au
  - BOM control

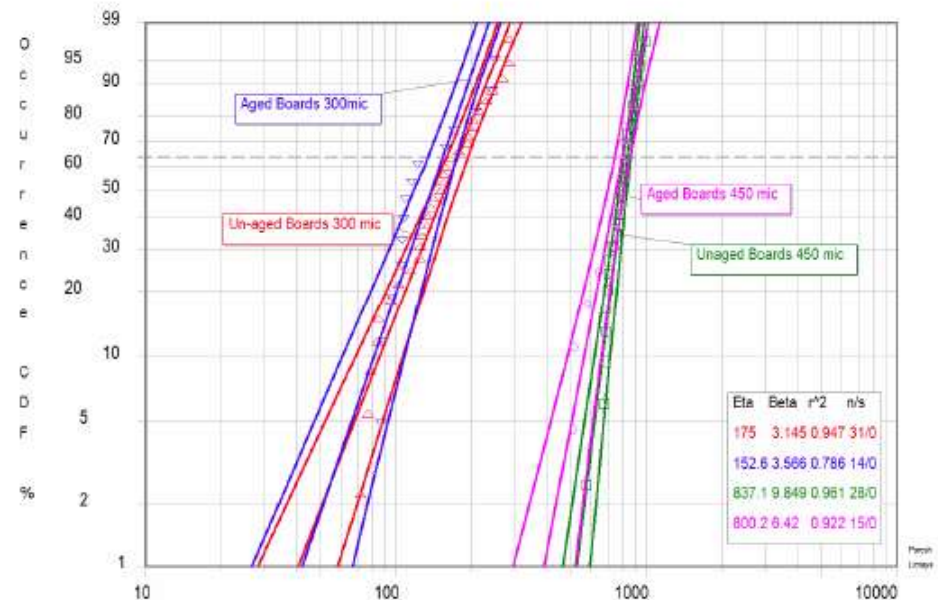
# 4. ...and what may happen!

## During operation (1)



### Solder joint fatigue

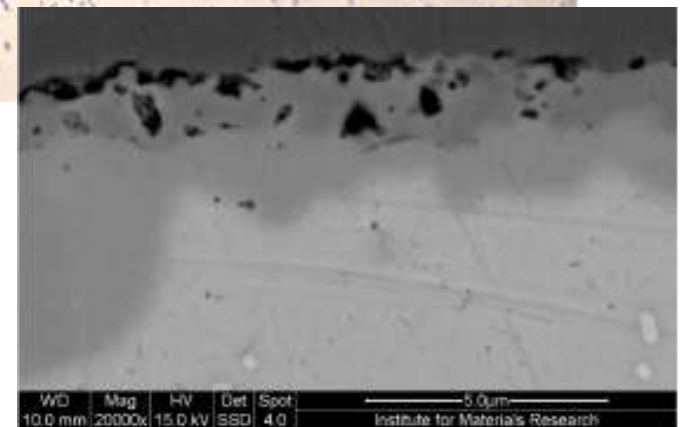
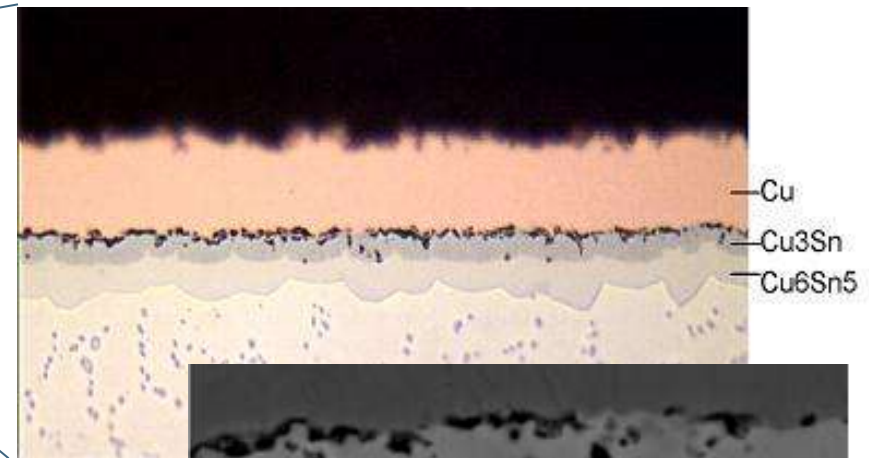
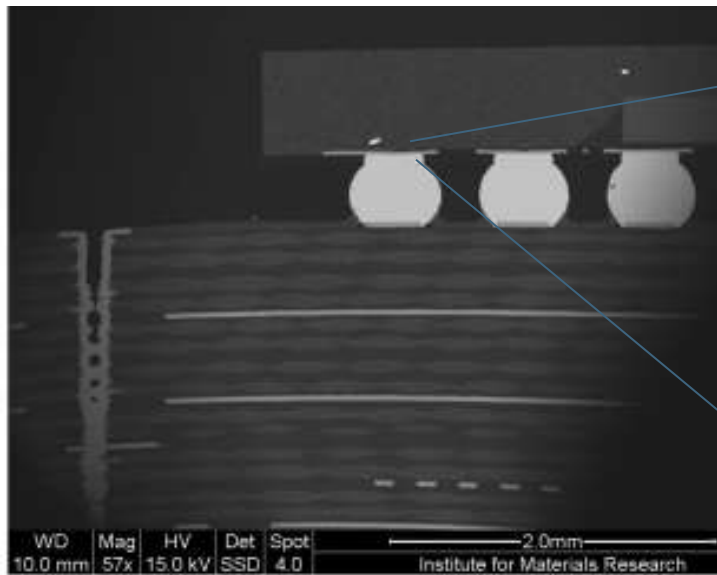
- Design: CTE mismatch
- Design vs. operational conditions
- Lead-free solder alloy





# 4. ...and what may happen!

## During operation (2)



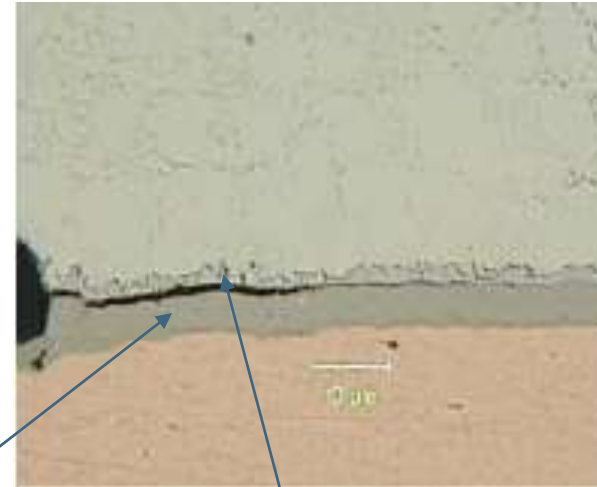
Kirkendall voiding  
• Component testing

# 4. ...and what may happen!

## During operation (3)

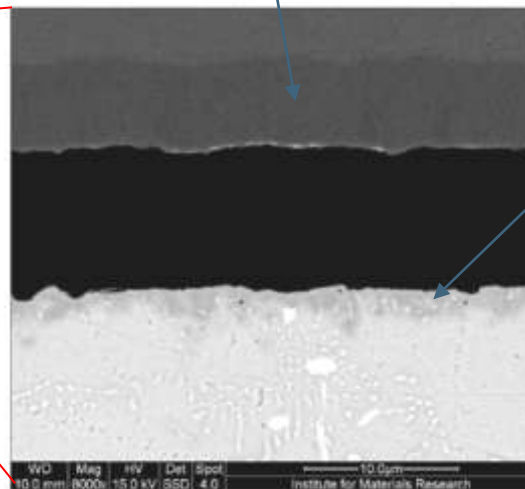
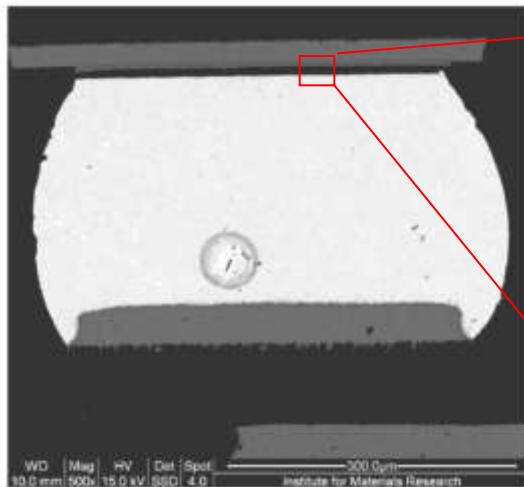
Interface failure

- Use of NiAu:  
intrinsic weak Ni-solder interface
- PCB: ENIG quality
- Design vs. mechanical load:  
shock, vibration, tensile stress



Ni

SnNi im



# 4. ...and what may happen!

## During operation (4)

SIR failure: dendrite growth

- PCB quality: ionic contamination
- PBA assembly quality
- Solder material flux classification
- Environment vs. design

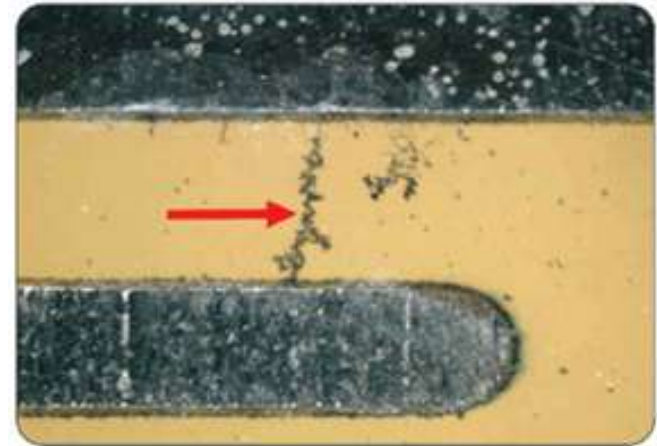
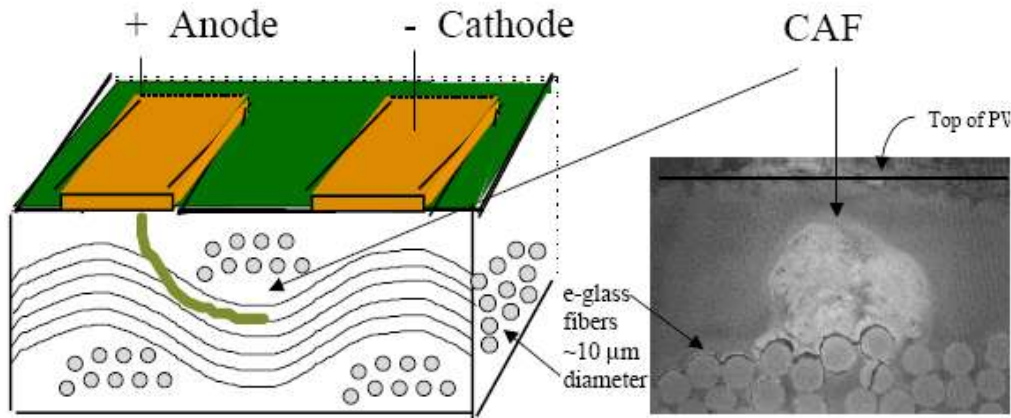


Figure 3-1: Dendrite growth between positively and negatively biased conductors (top and bottom).



## Conductive Anodic Filament

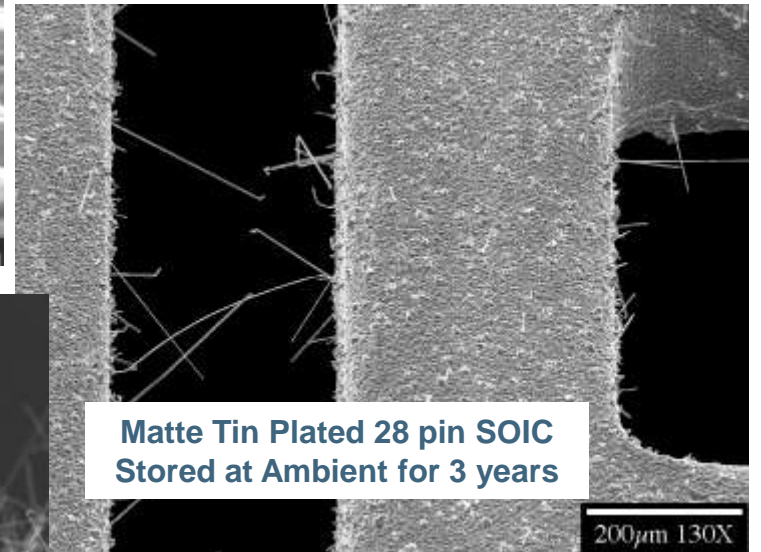
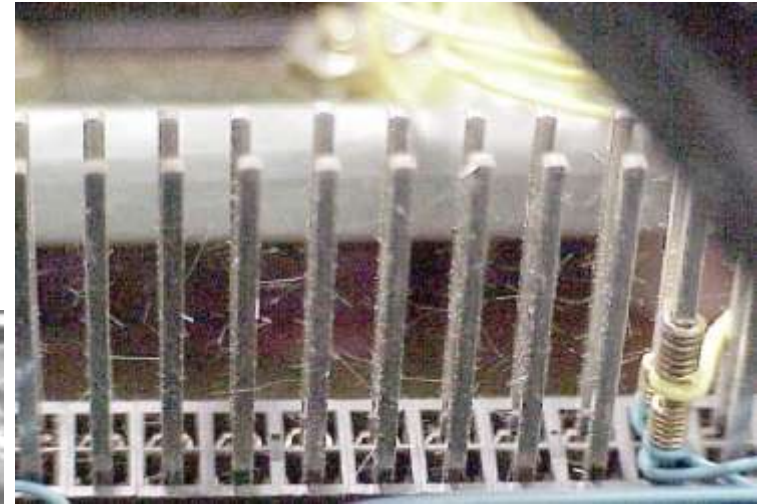
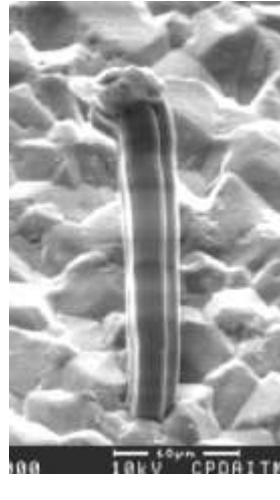
- Design
- PCB laminate selection
- PCB quality
- PBA quality
  - Overheating
  - Excessive repair cycles

# 4. ...and what may happen!

## During operation (5)

### Sn whisker

- Use of Sn, SnCu
- Lack of mitigation practice
- Component selection

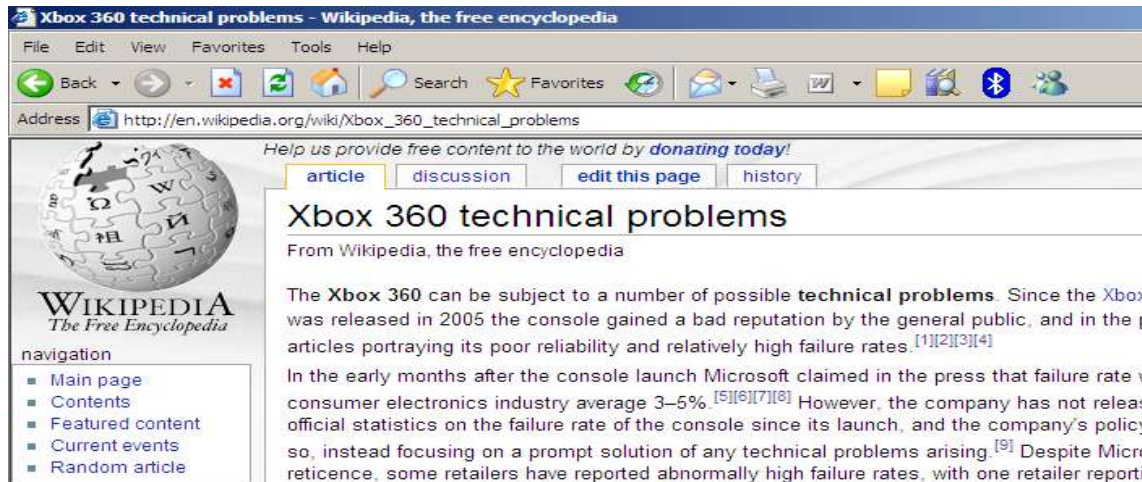


Matte Tin Plated 28 pin SOIC  
Stored at Ambient for 3 years



# 4. ...and what may happen!

## During operation (6): Microsoft Xbox 360



Estimated failure rate: 25-33%

Cost for Microsoft:  
>US\$ 1.000.000.000



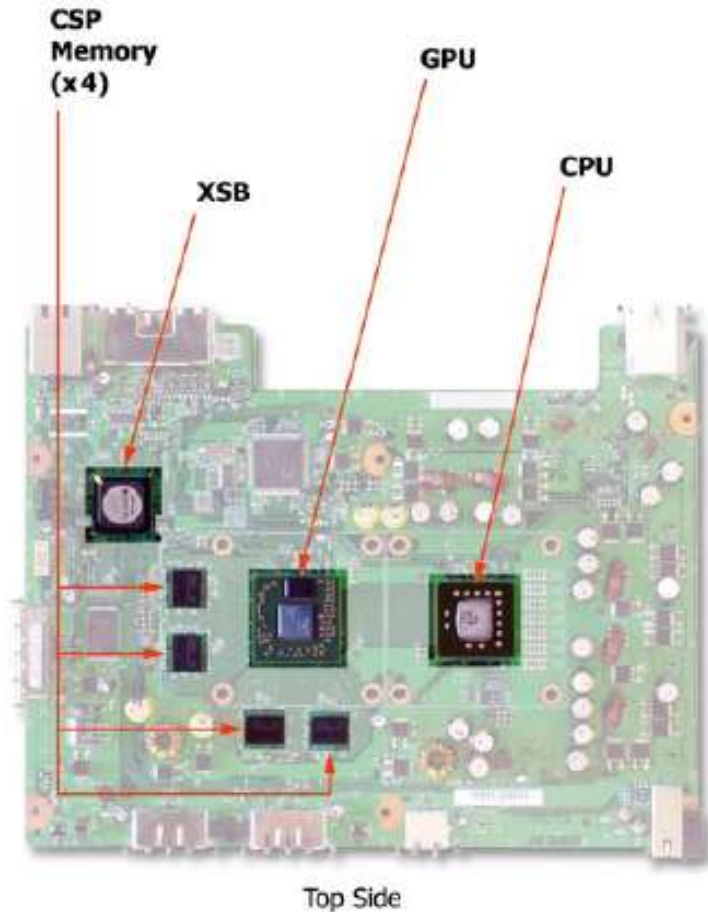
# 4. ...and what may happen!

Xbox 360 Motherboard Boogie



# 4. ...and what may happen!

## During operation (6): Microsoft Xbox 360



### Issues:

- Thermal design
- Component design?
- Mechanical load on lead-free BGA joints
- Soldering quality?

# Conclusion

RoHS has introduced a major paradigm shift.

Many more things can go wrong with PBAs...

... but do not have to go wrong!

- The OEM bears full product responsibility. Acknowledge this.
- Know what you need to know. Acknowledge the complexity.
- Explicitly specify every aspect of the PBA directly or indirectly through clear delegation.
- Control the supply chain.

Do not take anything for granted.





# Thank you for your attention



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Een gezamenlijke dienstverlening

Met steun van:

